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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

#5

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In re application of:  
Margrave, et al.

Serial No.: 09/809,885

Filed: March 16, 2001

Title:  
Chemical Derivatization of Single  
Wall Carbon Nanotubes to Facilitate  
Solvation Thereof; and Use of  
Derivatized Nanotubes To Form  
Catalyst-Containing Seed Materials  
For Use In Making Carbon Fibers

Atty. Docket No.:  
11321-P013D2  
(formerly 11321-P026US)  
Examiner: S. L. Hendrickson

Group Art Unit: 1754

To: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below:

5/29/03  
Date Signature

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.56 and § 1.97

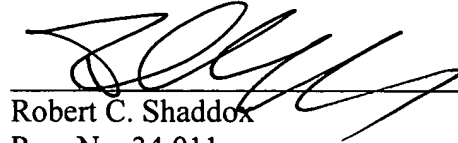
The references listed on the attached Form PTO/SB/08A-B, copies of which are enclosed, may be material to the examination of the above-identified patent application. Applicant submits these references in compliance with its duty of disclosure pursuant to 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these references of official record in this Application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

Applicant has enclosed a check for \$180.00 in payment of the required fee under 1.17(p). However, if it is determined that this amount is incorrect, the Commissioner is hereby authorized to credit or debit the Winstead Sechrest & Minick Deposit Account No. 23-2426 (referencing matter number 11321-P013D2).

Date: May 29, 2003

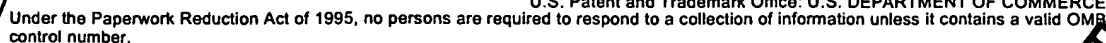
Respectfully submitted,



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Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.